



Patents

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Houston et al.**

Serial No. **09/844,448**

Filing Date: **April 27, 2001**

Title: **Method and System for Managing  
Security Events on a Network**

Art Unit: **2131**

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**TRANSMITTAL OF MISSING PARTS AND FILING FEE**

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Assistant Commissioner of Patents  
Washington, DC 20231


Sir:

In response to the Notice of Missing Parts mailed June 21, 2001, the undersigned attorney for applicant hereby transmits:

1. an executed Declaration and Power of Attorney for four of the six Applicants;
2. a Petition for a one-month Extension of Time;
3. PTO-1595 and an executed Assignment from four of the six Applicants to ISS, Inc.;
4. a Petition For Filing By Other Than All The Inventors Under 37 C.F.R. 1.47(a);

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on September 21, 2001.

  
Robert T. Neufeld, Reg. No. P-48,394

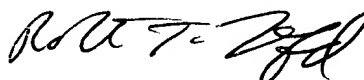
5. four checks, one in the amount of \$1,702 to cover the filing fee and the surcharge for the late filing of these parts, one in the amount of \$110 to cover the one-month Extension of Time, one in the amount of \$130 to cover the Patent Petition fee, and one in the amount of \$40 to cover the assignment recordal fee; and
6. a duplicate copy of Notice to File Missing Parts of Application-Filing Date Granted.

The filing fee is calculated as follows:

| FOR:                               | NO. FILED | NO. EXTRA | SMALL ENTITY |           | LARGE ENTITY |               |
|------------------------------------|-----------|-----------|--------------|-----------|--------------|---------------|
|                                    |           |           | RATE         | FEE       | RATE         | FEE           |
| BASIC FEE                          |           |           |              |           |              | \$710         |
| TOTAL CLAIMS                       | 59 - 20 = | 39        | x9 =         |           | x18 =        | \$702         |
| INDEP. CLAIMS                      | 5 - 3 =   | 2         | x39 =        |           | x80 =        | \$160         |
| MULTIPLE DEPENDENT CLAIM PRESENTED |           |           | +130 =       |           | +260 =       |               |
| LATE-FILING SURCHARGE              |           |           | + 65 =       |           | +130 =       | \$130         |
| FOREIGN LANGUAGE SURCHARGE         |           |           | +130 =       |           | +130 =       |               |
| <b>TOTAL</b>                       |           |           |              | <b>\$</b> | <b>TOTAL</b> | <b>\$1702</b> |

It is believed that the submission of these papers and this payment completes the filing of all necessary papers and it is therefore respectfully requested that the application be passed to the appropriate examining group for action on the merits.

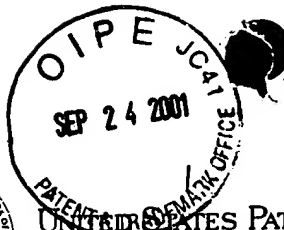
Respectfully submitted,



Robert T. Neufeld  
Reg. No. P-48,394

Serial No. 09/844,448

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K&S Docket: 05456.105005



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/844,448         | 04/27/2001          | Gregory Neil Houston  | 05456.105005           |

CONFIRMATION NO. 9082

## FORMALITIES LETTER



\*OC000000006209786\*

W. Scott Petty, Esq.  
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45th Floor  
191 Peachtree Street, N.E.  
Atlanta, GA 30303

Date Mailed: 06/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/27/2001 EADRAWA1 00000064 03044448

FILED UNDER 37 CFR 1.53(b)

|           |           |
|-----------|-----------|
| 01 FC-101 | 710.00 OP |
| 02 FC-103 | 702.00 OP |
| 03 FC-103 | 160.00 OP |
| 04 FC-103 | 130.00 OP |

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 10 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1020.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE